In 2013, the Hennepin County Office to End Homelessness (OEH), on behalf of the Heading Home Hennepin Strategic Plan and the Minneapolis/Hennepin County Continuum of Care, initiated a process to improve the delivery of housing and crisis response services and assistance to families and individuals who are homeless or at imminent risk of homelessness throughout Hennepin County by redesigning the community’s process for access, assessment, and referrals within its homeless assistance system.

This process, the Hennepin Coordinated Entry System (CES), institutes consistent and uniform access, assessment, prioritization and referral processes to determine the most appropriate response to each individual or family’s immediate housing needs. This new system of Coordinated Entry is not only mandated by HUD and many other funders, but is recognized nationally as a best practice, can improve efficiency in large systems like Hennepin County, and can help serve more people more quickly and efficiently with assistance targeted to address their housing needs.

This CES Policies and Procedures document is an operational manual, providing guidance and direction for the day to day operation, management, oversight and evaluation of Hennepin County’s coordinated entry approach. This manual will be updated and revised on an ongoing basis as the actual application and practical experience of CES design principles are refined and improved. Please refer to https://www.hennepin.us/residents/human-services/coordinated-entry to view the most up to date version of this document as well as up to date forms and materials.

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Introduction and Purpose

The Coordinated Entry System is Hennepin Continuum of Care’s approach to organizing and providing services and assistance to persons experiencing a housing crisis throughout Hennepin County. Persons who are seeking homeless or homelessness prevention assistance are directed to defined entry points, assessed in a uniform and consistent manner, prioritized for housing and services and then linked to available interventions in accordance with the intentional service strategy defined by Hennepin County’s CoC leadership. Each service participant’s acuity level and housing needs are aligned with a set of service and program strategies that represent the appropriate intensity and scope of services needed to resolve the housing crisis.

Hennepin County’s CES design is informed by local planning efforts including homeless assistance providers from programs serving families, single adults, youth, and persons fleeing domestic violence. In addition, much of Hennepin County’s CES design is derived from statewide CES planning efforts coordinated through the Minnesota Housing Finance Agency.

Guiding Principles

The design, operation and evaluation of CES is informed by a set of Guiding Principles established by the Hennepin County CES Leadership Team and adopted by the Hennepin County/Minneapolis.

Principle 1: Ensure service accessibility
- Allow anyone who needs homeless services to know where to get help and be able to access services as promptly as possible through an assessment process that is consistent and respectful
- Ensure staff conducting assessments are trained and competent in the assessment process

Principle 2: Prioritize swift exit from homelessness
- Facilitate exits from homelessness in the most rapid and appropriate manner possible given available resources; shelter is not housing

Principle 3: Align services to client need
- Ensure a homeless response system that includes a variety of program models targeted to serve a range of subpopulations driven by an analysis of client needs
- Ensure that clients gain access as efficiently and effectively as possible to safe placement options and the type of intervention most appropriate to their immediate and long-term housing needs and preferences
- Ensure that the Coordinated Entry System is sufficiently flexible to enable tailored responses to individual client needs and circumstances

Principle 4: Prioritize services for clients with the greatest need
- Establish uniform, consistent eligibility criteria and prioritization standards
- Limit eligibility criteria to those required by funding sources or other formal external requirements (i.e. tax credit requirements) in order to end homelessness for all people as promptly as possible
- Ensure that people who have been homeless the longest and/or are the most vulnerable have priority access to the project model to which they have been matched

Principle 5: Build a system that works efficiently and effectively for clients, referral sources, and receiving programs
- Ensure clarity, transparency, consistency and accountability for homeless clients, referral sources and receiving programs throughout the assessment and referral process
- Incorporate provider and client choice in enrollment decisions, including the ability to opt into a less-intensive intervention
- Promote collaboration, communication, and knowledge sharing regarding resources among providers
Principle 6: Invest in continuously strengthening the system

- Leverage Homeless Management Information System (HMIS) data and infrastructure whenever possible for system evaluation, monitoring, and client care coordination and ensure data quality
- Limit data collection to that which is relevant to the Coordinated Entry process
- Continue to make enhancements to the Coordinated Entry System in response to emerging findings and needs and changes in City, State or Federal policy
- Continuously invest in opportunities to build provider capacity and enable more efficient and effective services

Process for creating and amending the CES Policies and Procedures

CES Policies and Procedures governing the management and oversight of Hennepin Coordinated Entry System shall be documented in the Hennepin County Policies and Procedures Manual. Updates and changes will be reviewed annually and approved by the CES Leadership Committee. Concerns about existing policies and procedures should be directed to the Chair of the Leadership Committee.

Provider engagement on the process and procedures will be critical in ensuring this CES works as well as possible. The leadership committee will solicit feedback from existing community committees to identify pain points in the system, these groups will include:

- Shelter Collaborative
- HMIS User Group
- The Outreach Group (TOG)
- Collaborative Review
- LTH Housing Support Provider Meeting
- FHPAP Advisory Committee
- HUD McKinney-Vento CoC Funding Committee
- Employment and Income Committee
- Family Homelessness Prevention and Rapid Rehousing Committee
- CES Family Leadership Committee
- Veteran’s Leadership Committee
- Youth Advisory Board
- Hennepin County Youth Collaborative
- Street Voices of Change

Beyond that, the CES website for Hennepin County will provide a clearinghouse for up to date information, forms, news, and a chance to provide feedback.
## Coordinated Entry System Terms

### Terms & Definitions

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<th>HUD</th>
<th>HUD’s definition:</th>
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<td>Chronically Homeless</td>
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*Chronically homeless* means: (1) A “homeless individual with a disability,” as defined in Section 401(9) of the McKinney-Vento Homeless Assistance Act, who:

1. Lives in a place not meant for human habitation, a Safe Haven, or an emergency shelter; AND
2. Has been homeless continuously for at least 12 months or on at least four separate occasions in the last 3 years, as long as the combined occasions equal at least 12 months and each break in homelessness separating the occasions included at least 7 consecutive nights of not living as described in (i) above.

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<tr>
<th>Disability</th>
<th>HUD defines a person with disabilities as a person who:</th>
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<td>1. has a disability as defined in Section 223 of the Social Security Act (42 U.S.C.423), or</td>
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<td>2. is determined by HUD regulations to have a physical, mental or emotional impairment that:</td>
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<td>a. is expected to be of long, continued, and indefinite duration;</td>
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<td>b. substantially impedes his or her ability to live independently; and</td>
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<td>c. is of such a nature that such ability could be improved by more suitable housing conditions, or</td>
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<td>3. has a developmental disability as defined in the Developmental Disabilities Assistance and Bill of Rights Act (42 U.S.C. 15002(8)), or</td>
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<td>4. has the disease acquired immunodeficiency syndrome (AIDS) or any conditions arising from the etiologic agent for acquired immunodeficiency syndrome (HIV).</td>
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<td>For the purpose of qualifying for low income housing under HUD public housing and Section 8 programs, the definition does not include a person whose disability is based solely on any drug or alcohol dependence.</td>
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<tr>
<th>HUD Category 1 (Literally Homeless)</th>
<th>An individual or family who lacks a fixed, regular, and adequate nighttime residence</th>
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<td>a. An individual or family with a primary nighttime residence that is a public or private place not designed for or ordinarily used as a regular sleeping accommodation for human beings, including a car, park, abandoned building, bus or train station, airport, camping ground; or</td>
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<td>b. An individual or family living in a supervised publicly or privately operated shelter designated to provide temporary living arrangements (including congregate</td>
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shelters, transitional housing, and hotels and motels paid for by charitable organizations or by federal, state, or local government program for low-income individuals); or

c. An individual who is exiting an institution where he or she resided for 90 days or less and who resided in an emergency shelter or place not meant for human habitation immediately before entering that institution.

| HUD Category 2 | Individual or family is being evicted within **14 days** from their primary nighttime residence and:
| HUD Category 3 | Unaccompanied youth under 25 years of age, or families with children and youth, who do not otherwise qualify as homeless under this definition, but who:

| HUD Category 4 | Any individual or family who:

| Case Conferencing | Local process for CE staff to coordinate and discuss ongoing work with persons experiencing homelessness in the community, including the prioritization or active list. The goal of case conferencing is to provide holistic, coordinated, and integrated services across providers, and to reduce duplication.

| Continuum of Care (CoC) | Group responsible for the implementation of the requirements of HUD’s CoC Program interim rule. The CoC is composed of representatives of organizations, including nonprofit homeless providers, victim service providers, faith-based organizations, governments, businesses, advocates, public housing agencies, school districts, social service providers,
mental health agencies, hospitals, universities, affordable housing developers, law enforcement, organizations that serve homeless and formerly homeless veterans, and homeless and formerly homeless persons.

<table>
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<tr>
<th><strong>Continuum of Care (CoC) Program</strong></th>
<th>HUD funding source to (1) promote communitywide commitment to the goal of ending homelessness; (2) provide funding for efforts by nonprofit providers, and state and local governments to quickly rehouse homeless individuals and families while minimizing the trauma and dislocation caused to homeless individuals, families, and communities by homelessness; (3) promote access to and effect utilization of mainstream programs by homeless individuals and families; and (4) optimize self-sufficiency among individuals and families experiencing homelessness.</th>
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<tr>
<td><strong>Emergency Shelter</strong></td>
<td>Short-term emergency housing available to persons experiencing homelessness.</td>
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<td><strong>Homeless Management Information System (HMIS)</strong></td>
<td>Local information technology system used by a CoC to collect participant-level data and data on the provision of housing and services to homeless individuals and families and to persons at risk of homelessness. Each CoC is responsible for selecting an HMIS software solution that complies with HUD’s data collection, management, and reporting standards. In Hennepin County and the State of Minnesota we use a platform called ServicePoint to manage our HMIS. ServicePoint and HMIS have become synonymous in MN, but are really separate entities. Minnesota’s System Administrator is Institute for Community Alliances (ICA).</td>
</tr>
<tr>
<td><strong>Permanent Supportive Housing (PSH)</strong></td>
<td>Permanent housing with indefinite leasing or rental assistance paired with supportive services to assist homeless persons with a disability or families with an adult or child member with a disability achieve housing stability.</td>
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<tr>
<td><strong>Rapid Re-housing (RRH)</strong></td>
<td>Program emphasizing housing search and relocation services and short- and medium-term rental assistance to move homeless persons and families (with or without a disability) as rapidly as possible into permanent housing.</td>
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<td><strong>Release of Information (ROI)</strong></td>
<td>Written documentation signed by a participant to release his/her personal information to authorized partners.</td>
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<tr>
<td><strong>Transitional Housing (TH)</strong></td>
<td>Program providing homeless individuals and families with the interim stability and support to successfully move to and maintain permanent housing. Transitional housing funds may be used to cover the costs of up to 24 months of housing with accompanying supportive services. Program participants must have a lease (or sublease) or occupancy agreement in place when residing in transitional housing.</td>
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| **Minnesota Long Term Homeless (LTH)** | Persons including unaccompanied youth, or families with children who lack a permanent place to live continuously for a year or more or at least four times in the past three years. Any period of institutionalization or incarceration shall be excluded when determining the length of time a household has been homeless. Definition includes persons doubled up or “couch hopping” (doubled up or couch hopping is considered an episode of homelessness if a household is doubled up with another household and duration is less than one year or couch hops as a temporary way to avoid living on the streets or an emergency shelter).

Time spent in transitional housing (TH) is a neutral event. Housing history prior to or after transitional housing should be evaluated to determine if it meets the state’s LTH definition.

Minnesota’s definition does not require that the person have a disabling condition. |
| **Minnesota High Priority Homeless (HPH)** | Households prioritized for permanent supportive housing by the Coordinated Entry system.

The eligibility change applies to all LTH units and HTF LTH rental assistance programs funded by Minnesota Housing (capital funding includes housing tax credits, deferred funding, bonds, etc.)

**NOTE:** The HPH eligibility option only applies to Minnesota Housing funded LTH units and HTF LTH rental assistance programs. If you have other funding for the LTH units that requires LTH eligibility, you will still need to follow the LTH eligibility and documentation requirements for that funding source (e.g., Housing Support (formerly GRH) and the LTH Supportive Services Grant Fund). |
| **Area Median Income (AMI)** | The Area Median Income (AMI) is the midpoint of a region’s income distribution – half of households in a region earn more than the median and half earn less than the median. For housing policy, income thresholds set relative to the area median income—such as 50% of the area median income—identify households eligible to live in income-restricted housing units and the affordability of housing units to low-income households. These are determined and published annually by HUD and can be found at [https://www.huduser.gov/portal/datasets/il.html](https://www.huduser.gov/portal/datasets/il.html). |
| **VI-SPDAT** | The VI-SPDAT is a triage tool that seeks to assign housing interventions to individuals based on their acuity in several core areas. This tool combines the Vulnerability Index that has been used for morbidity assessment, with the Service Prioritization Decision Assistance Tool that prioritizes housing interventions. There are three versions of the VI-SPDAT in use in Hennepin County; the Family F-VI-SPDAT, the Transition Age Youth TAY-VI-SPDAT, and the original VI-SPDAT which is used with single adults. This tool is not to be confused with the full SPDAT that is used as a longitudinal case management tool and a more comprehensive assessment. |
The Hennepin County Continuum of Care (CoC) Operations Board, has worked in partnership with Hennepin County’s Office to End Homelessness, in its capacity as the CoC’s Collaborative Applicant, to develop the following set of Written Standards to guide the design, delivery and evaluation of homelessness prevention and homeless assistance that is provided through the community’s CoC Program and Emergency Solutions Grant (ESG) Program funded projects, as required by § 578.7(a)(9) of the U.S. Department of Housing and Urban Development’s (HUD) CoC Program interim rule.

These standards were developed after careful consultation and work with providers, agency leadership, funders, and community planners and evaluators that work across the CoC’s geography, and are intended to reflect the core values, principles and evaluation criteria that projects within the CoC are expected to adhere to, and that projects that receive CoC Program and ESG Program funding are required to follow.

As needed, these standards will be reviewed and revised by the CoC, to ensure that they remain relevant and reflective of the community.

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<th>Hennepin County Continuum of Care Written Standards</th>
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<th>Single Adult</th>
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<tr>
<td>Family</td>
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A single adult is an individual that is 18 years of age or older and does not have custody of children 51% of the time

A family is an individual or couple that has a child under the age of 18 in their custody at least 51% of the time

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Persons under age 25, including children under age 18 and young adults ages 18 to 24.

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<tr>
<th>Decline</th>
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<tr>
<td>Cancel</td>
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<tr>
<td>Housing Move-In Date</td>
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To deny the referral and place back on the Priority List

To deny the referral and remove from the Priority List

Date referred individual enters housing

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<th>CES Connect</th>
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A Hennepin County application utilized to capture CE participant assessment data for those who wish to remain outside of the Homeless Management Information System, minor youth aged 16-17 and those experiencing domestic violence, and those assessed by VAWA agencies. CES Connect captures minimum necessary information for minors and those experiencing domestic violence to directly make housing referrals, following national best practice. CES Connect also merges the priority list with the HMIS priority list report.
Staffing Roles and Participation Responsibilities

Single Adult CES Leadership Committee

Oversight and monitoring of Coordinated Entry functions is conducted by the Leadership Group of Hennepin County stakeholders to ensure consistent application of CES policies and procedures and high quality service delivery for persons and families experiencing a housing crisis.

Membership is comprised of up to twelve Hennepin County stakeholders originally selected via an application process facilitated by the Housing Stability Area of Hennepin County. Members serve three year terms and are not eligible for re-nomination. Initially, this turnover will be staggered in order to ensure continuity in planning. As the openings in the Group occur, the process of filling those spaces will be facilitated by the Group itself with support from Hennepin County staff. The COC Operations Board will be responsible for ensuring adequate community representation on this committee.

Membership is drawn from the following providers and populations:

- Native American Community Service Provider
- African American Community Service Provider
- People living with HIV Service Provider
- Domestic Violence Service Provider
- Shelter / Outreach Provider
- Schools / Education Services Provider
- Other Culturally Specific Provider (specify)
- Housing Provider
- State or Local Government Employee
- Person with lived experience of homelessness
- Employment Services Provider
- Funder
- PSH or RRH Service Provider
- Landlord / Property Manager

The Leadership Committee will be led by appointed Co-chairs who are responsible for the following but not limited to:

- Managing agenda items
- Designated representative for community stakeholders

The Leadership Committee shall meet monthly to monitor progress, hear appeals, assess progress, and implement changes and updates to CES operations. Meeting minutes will be published publically on the Hennepin CES website.

Subcommittees shall be defined and created as necessary.

Roles:

1. CES Leadership Committee reviews CES operations on a monthly basis and establishes and/or updates CES Policies and Procedures as necessary and in accordance with Guiding Principles.
2. Establishes an annual CES evaluation plan and reviews evaluation results prepared/compiled by Housing Stability Area staff Evaluation findings and results are used by the CES Leadership Committee to inform updates and changes to CES operational practices.
3. Review and approve all supporting CES documentation, including but not limited to: participation agreements among CoC and participating agencies, assessment tools, prioritization criteria and tools, case conferencing protocols, etc.
4. Reviewing and approving transfer requests.
5. Review and respond appropriately to system grievances.
6. The CES Singles Leadership Committee shall be responsible for the revision, review, and approval of the CES Policies and Procedures Manual. The revision process will be completed at least once annually, and anyone who is interested in submitting suggestions for revisions to the document should submit them to CES.Hennepin@Hennepin.us

Hennepin County Housing Stability Area – Policy and Planning
Hennepin County Housing Stability Area includes all staff associated with community planning, CES Team, HMIS staff, and CoC management staff.

1. Provide staff support to the CES Leadership Committee
2. Conduct CES analysis, evaluation, monitoring, and review
3. Maintain CES documentation, tools and resources necessary to manage CES access points, ensure consistent assessment, prioritize most vulnerable persons and families for assistance, and ensure timely linkage of persons to available housing and services.
4. Provide guidance, training, capacity building support, communication updates, and other project support as needed to ensure all CES participating providers and referral sources have information and resources as necessary to operate and participate in CES successfully.
5. Creating and widely disseminating outreach materials to ensure that information about the services available through the CES and how to access those services is readily available and easily accessible to the public
6. Designing and delivering training for Assessment Entities and homeless assistance providers throughout Hennepin County
7. Regularly review and analyze HMIS data, including reports on system-wide performance measures that will help gauge the success of the Coordinated Entry System, including clients receiving diversion assistance, and completion of assessments
8. Participate in CES Leadership Committee

Hennepin County Coordinated Entry System (CES) Team
The Coordinated Entry System (CES) Team provides coordination of services and referral management for Hennepin County’s homeless continuum.

Primary responsibilities include the following:

A. Oversight of day-to-day operations of Coordinated Entry Referral System
   • Oversees vacancy reporting, priority list management, and referral functions to:
     o facilitate exits from homelessness in the most rapid manner possible given available resources
     o ensure that clients are appropriately matched to the type of intervention most aligned with their immediate and long-term housing needs and preferences
ensure that people who have been homeless the longest and/or are the most vulnerable have priority access to the project model to which they have been referred

- Oversees assessment functions to ensure client needs and preferences are promptly, regularly, respectfully, consistently and accurately determined
- Oversees case conferencing functions to review and resolve rejection decisions by receiving programs and refusals by clients to engage in a housing plan;
- Contributes to Community Case Conferencing monthly meeting
- Oversees appeals processes to resolve client grievances regarding eligibility decisions in accordance with relevant policies and procedures
- Uses data in Homeless Management Information System (HMIS) to manage client and program level data including assessments, priority list, referrals, and referral outcomes

B. Coordination with and Support for Partners
- Assists in the design and provision of ongoing training for County staff and community partners conducting assessments
- Assists in planning and execution of a strategy to regularly obtain provider and consumer input and promote collaboration, communication, and knowledge sharing regarding resources among community stakeholders
- Leads coordination efforts with other local and state-wide Coordinated Entry efforts
- Develops and maintains strong working relationships with referring and receiving agencies including comprehensive knowledge of program types and provider attributes

C. Compliance
- Oversees referral functions in a manner that is in accordance with established eligibility criteria and prioritization standards
- Oversees updates to policies and procedures for the Coordinated Entry System

D. Program Evaluation, Quality Assurance and Quality Improvement
- Leveraging HMIS data and infrastructure whenever possible, leads regular evaluation efforts to assess the extent to which the Coordinated Entry System is:
  - achieving established performance objectives
  - providing clarity, transparency, consistency and accountability for homeless clients, referral sources and receiving programs
  - sufficiently flexible to enable tailored responses to individual client needs and circumstances
- Identifies opportunities to adjust the Coordinated Entry System to strengthen performance
- Assists in implementation of process improvement adjustments
- Works to ensure that evaluation and adjustment processes are informed by a broad and representative group of stakeholders.

Hennepin Coordinated Entry Assessor Description
All trained assessors are expected to be approved by Hennepin CES Team prior to obtaining access to Hennepin CES EDA in HMIS and/or CES Connect. Hennepin CES Team will limit number of authorized assessors based on access points, specialized population, and need.

For Assessors completing assessments in HMIS:
• Complete HMIS New User Training with ICA
• Purchase HMIS User License
• Complete Hennepin specific Assessor Training

For Assessors completing assessments in CES Connect:
• Complete CES Connect training with Hennepin CES team

Assessors are expected to:

1. Assure compliance with data privacy and policies, including having those assessed sign the HMIS ROI as applicable and the CES ROI for all assessments completed. Failure to do so will result in removal from priority list.
2. Follow CES Process to complete VI-SPDAT and supplement assessment questions to determine appropriate service connections, linkages, and referrals.
3. All assessments must be completed and submitted through HMIS or CES Connect by the trained assessor. Third party data entry is detrimental to the system and is not permitted.
4. Utilize appropriate VI-SPDAT tool based on age.
5. Complete assessments: Enter VI-SPDAT Score and supplemental assessment questions into HMIS under the Hennepin County CES Assessment EDA or CES Connect.
6. Data entry and notes must be entered into HMIS or CES Connect within 3 business days from completed assessment.
7. Understand the CES as a whole and the constraints of the system (i.e. housing is not guaranteed).
8. Update the assessment in HMIS or CES Connect until the household is linked to an appropriate housing intervention, or until services are no longer needed.
9. Enter data and updates into HMIS and CES Connect per instructions.
10. Aid clients in gathering vital documentations and store in secure location.
11. Remove individuals assessed when person is no longer eligible for housing through CES or no longer in need.
12. Attend required HMIS, CoC, and CES trainings.
13. Provide feedback for annual CES evaluation.
15. If serving a specialized population, Assessment Site protocols maybe appropriately adjusted.
16. Assessor will help facilitate warm hand off to Housing Provider if a referral is made.
17. If applicable, assessor will complete HMIS New User Training along with the Hennepin specific assessor training.
18. Assessor or Assessor’s supervisor will notify CES Staff when assessor is leaving position and will no longer be assessing.

**Hennepin County – HMIS Local System Administrator**

1. HMIS Staff maintain HMIS database in accordance with the Local System Administrator (LSA) role as defined by the Minnesota HMIS.
2. Housing Stability staff and HMIS LSA generate ad hoc CES reports and analysis as determined by the CES Leadership Committee and COC staff.
3. Participate in other planning efforts as appropriate.
**HMIS State System Administrator**

1. Housing Stability staff and HMIS State System Administrator generate standard CES reports on an ongoing basis as defined by the CES Leadership Committee and Statewide CES Workgroup
2. Ensuring HMIS can collect the needed data for monitoring and tracking the process of referrals and system outcomes.

**Hennepin County homeless assistance providers participating in CES**

1. **Adopt and follow CES policies and procedures.** CES participating providers shall maintain and adhere to policies and procedures for CES operations as identified in this CES Operations Manual, and as established by the CES Leadership Committee for access points, assessment procedures, client prioritization, and referral and placement in available services and housing.

2. **Maintain low barrier to enrollment.** Homeless providers shall limit barriers to enrollment in services and housing. No client may be turned away from crisis response services or homeless designated housing due to lack of income, lack of employment, disability status, or substance use unless the project’s primary funder requires the exclusion or a previously existing and documented neighborhood covenant/good neighbor agreement has explicitly limited enrollment to clients with a specific set of attributes or characteristics. Providers maintaining restrictive enrollment practices must maintain documentation from project funders, providing justification for the enrollment policy.

   CoC providers offering Prevention and/or Short-Term Rapid Rehousing assistance (i.e. 0 – 24 months of financial assistance) may choose to apply some income standards for their enrollment determinations as determined by the funding source.

3. **Maintain Fair and Equal Access.** CES participating providers shall ensure fair and equal access to CES system programs and services for all clients regardless of actual or perceived race, color, religion, national origin, age, gender identity, pregnancy, citizenship, familial status, household composition, disability, Veteran status, or sexual orientation.

   If a program participant’s self-identified gender or household composition creates challenging dynamics among residents within a facility, the host program should make every effort to accommodate the individual or assist in locating alternative accommodation that is appropriate and responsive to the individual’s needs.

   CES participating providers shall offer universal program access to all subpopulations as appropriate, including chronically homeless individuals and families, Veterans, youth, persons and households fleeing domestic violence, and transgendered persons.

   Population-specific projects and those projects maintaining affinity focus (e.g. women only, tribal nation members only, chronic inebriates, etc.) are permitted to maintain eligibility restrictions as currently defined and will continue to operate and receive prioritized referrals. Any new project wishing to institute exclusionary eligibility criteria will be considered on a case by case basis and receive authorization to operate as such on a limited basis from the Leadership Committee and their funders.
4. **Provide appropriate safety planning.** CES participating providers shall provide necessary safety and security protections for persons fleeing or attempting to flee family violence, stalking, dating violence, or other domestic violence situations. Minimum safety planning must include a threshold assessment for presence of participant safety needs and referral to appropriate trauma-informed services if safety needs are identified.

5. **Create and share written eligibility criteria.** Provide detailed written guidance for client eligibility and enrollment determinations. Eligibility criteria should be limited to that required by the funder and any requirements beyond those required by the funder will be reviewed and a plan to reduce or eliminate them will be discussed. Include funder specific requirements for eligibility and program-defined requirements such as client characteristics, attributes, behaviors or histories used to determine who is eligible to be enrolled in the program. These standards will be shared with Housing Referral Coordinators as well as funders.

6. **Communicate vacancies.** Homeless providers must communicate project vacancies, either bed, unit, or voucher, to the CES team in a manner determined by the CES Leadership Committee and outlined in this Operations Manual.

7. **Limit enrollment to participants referred through the defined CES access point(s).** Each bed, unit, or voucher that is required to serve someone who is homeless must receive their referrals from the the CES. Any agency filling homeless mandated units from alternative sources will be reviewed with funders for compliance. A finite number of boutique programs serving distinct populations may receive a waiver for this clause, but will need to provide CES with detailed engagement and eligibility plans. CES access points will need to be informed of every opening and how and when they were filled.

8. **Participate in CES planning.** CoC projects shall participate in Hennepin County’s CES planning and management activities as defined and established by the CES Leadership Committee.

9. **Contribute data to HMIS if mandated per federal, state, county, or other funder requirements.** Each provider with homeless dedicated units will be required to participate in HMIS to some extent. Providers should check with funding sources to determine what forms they will need to complete in HMIS.

10. **Ensure staff who interact with the CES process receive regular training and supervision.** Each provider must notify the CES team to changes in staffing, in order to ensure employees have access to ongoing training and information related to CES

11. **Ensure client rights are protected and clients are informed of their rights and responsibilities.** Clients shall have rights explained to them verbally and in writing when completing an initial intake. At a minimum client rights will include:
   - The right to be treated with dignity and respect;
   - The right to appeal CES decisions;
   - The right to be treated with cultural sensitivity;
   - The right to have an advocate present during the appeals process;
• The right to request a reasonable accommodation in accordance with the project’s tenant/client selection process;
• The right to accept housing/services offered or to reject housing/services;
• The right to confidentiality and information about when confidential information will be disclosed, to whom, and for what purposes, as well as the right to deny disclosure.

Access to CES for Single Adults
The four central components of the Coordinated Entry System are Access, Assessment, Prioritization, and Referral. Hennepin County utilizes these terms to establish continuity of services across the CoC. The goal of access is that all people in the CoC’s geographic area have fair and equal access to the coordinated entry process, regardless of where or how they present for services.
CES Single Adult Access Points
<table>
<thead>
<tr>
<th>Assessment Location</th>
<th>Type</th>
<th>Geography</th>
<th>Population</th>
</tr>
</thead>
<tbody>
<tr>
<td>The Aliveness Project</td>
<td>Drop-In</td>
<td>Minneapolis</td>
<td>HIV/AIDS</td>
</tr>
<tr>
<td>Veterans Affairs - Community Resource &amp; Referral Center</td>
<td>Drop-In</td>
<td>Minneapolis</td>
<td>Veterans</td>
</tr>
<tr>
<td>Minnesota Assistance Council for Veterans</td>
<td>Outreach</td>
<td>All</td>
<td>Veterans</td>
</tr>
<tr>
<td>Domestic Abuse Project</td>
<td>Mobile</td>
<td>All</td>
<td>HUD Category 4 (Fleeing/Attempting to Flee)</td>
</tr>
<tr>
<td>Cornerstone</td>
<td>Drop-in</td>
<td>All</td>
<td>HUD Category 4 (Fleeing/Attempting to Flee)</td>
</tr>
<tr>
<td>Avenues For Homeless Youth</td>
<td>Shelter</td>
<td>Minneapolis</td>
<td>Youth</td>
</tr>
<tr>
<td>Bridge for Youth</td>
<td>Shelter, Drop-in, Outreach</td>
<td>Minneapolis</td>
<td>Minor Youth</td>
</tr>
<tr>
<td>Catholic Charities Hope Street</td>
<td>Shelter, Outreach</td>
<td>Minneapolis</td>
<td>Youth</td>
</tr>
<tr>
<td>Oasis for Youth</td>
<td>Drop-In, Outreach</td>
<td>South Metro</td>
<td>Youth</td>
</tr>
<tr>
<td>Youthlink</td>
<td>Drop-in, Outreach</td>
<td>Minneapolis</td>
<td>Youth</td>
</tr>
<tr>
<td>MoveFwd</td>
<td>Drop-In, Outreach</td>
<td>West Metro</td>
<td>Youth</td>
</tr>
<tr>
<td>YMCA of the Great Twin Cities</td>
<td>Outreach</td>
<td>All</td>
<td>Youth</td>
</tr>
<tr>
<td>The Link</td>
<td>Outreach</td>
<td>All</td>
<td>Youth</td>
</tr>
<tr>
<td>Opportunity Center</td>
<td>Drop-In</td>
<td>All</td>
<td>All</td>
</tr>
<tr>
<td>St. Stephen’s Human Services</td>
<td>Outreach</td>
<td>City of Minneapolis</td>
<td>All</td>
</tr>
<tr>
<td>American Indian Community Development Center</td>
<td>Outreach</td>
<td>South Minneapolis</td>
<td>All (Native American)</td>
</tr>
<tr>
<td>People Incorporated</td>
<td>Outreach</td>
<td>All</td>
<td>All (Mental Health)</td>
</tr>
<tr>
<td>Catholic Charities Higher Ground</td>
<td>Shelter</td>
<td>Minneapolis</td>
<td>All</td>
</tr>
<tr>
<td>The Salvation Army</td>
<td>Shelter</td>
<td>Minneapolis</td>
<td>All</td>
</tr>
<tr>
<td>Our Saviour’s Housing</td>
<td>Shelter</td>
<td>Minneapolis</td>
<td>All</td>
</tr>
<tr>
<td>St. Stephen’s Human Services</td>
<td>Shelter</td>
<td>Minneapolis</td>
<td>All</td>
</tr>
<tr>
<td>Simpson Housing Services</td>
<td>Shelter</td>
<td>Minneapolis</td>
<td>All</td>
</tr>
<tr>
<td>Matrix Housing Services</td>
<td>Mobile Assessors</td>
<td>All</td>
<td>All</td>
</tr>
<tr>
<td>Hennepin County Homeless Access Team</td>
<td>Mobile</td>
<td>All</td>
<td>Individuals on Chronic Index</td>
</tr>
<tr>
<td>Hennepin County Healthcare for the Homeless</td>
<td>Program Participants Only</td>
<td>All</td>
<td>Shelter</td>
</tr>
</tbody>
</table>
Policy and Procedures

Access Policies – Singles

Access to the Singles CES is available through three routes; Staying in Emergency Shelter, Staying in a place not meant for human habitation, and meeting HUD Category 4- Fleeing and Attempting to Flee Domestic Violence.

Emergency Shelter

After staying in Hennepin shelter for at least 14 days an assessment for the Singles CES shall be offered. If individual is staying in community shelter where no trained Hennepin CES assessor is present, individual can contact Matrix Housing Services to schedule an assessment. Visit CES website for contact information.

Staying in a Place Not Meant for Human Habitation

Unsheltered individuals can be connected with a Street Outreach agency and receive an assessment for CES through a worker. If individuals are not engaged with any Street Outreach agency they can connect with the contracted mobile assessors, Matrix Housing Services. Visit CES website for contact information.

HUD Category 4: Fleeing/Attempting to Flee Domestic Violence

Individuals can be assessed for CES by any assess point, however, if they want to be assessed through a Victim Service Provider they can reach out to the contracted Domestic Violence assessors, The Domestic Abuse Project (DAP). Visit CES website for contact information.

Access to the Emergency Shelter System

1. **Universal access for all individuals.** Hennepin County access points shall provide directly or make arrangements through other means to ensure universal access to crisis response services for clients seeking emergency assistance at all hours of the day and all days of the year.

2. **Crisis response during non-business hours.** Hennepin County access providers shall document planned after-hours emergency services and publish hours of operation in an easily accessible location or posted publicly on the Internet. After hours crisis response access may include telephone crisis hotline access, coordination with policy, emergency medical care.

3. **Individuals fleeing domestic violence or sexual assault.** Hennepin County access providers shall be trained on the complex dynamics of domestic violence, privacy and confidentiality, and safety planning, including how to handle emergency situations at access points, whether a physical or virtual location. Hennepin County access providers shall partner with local victim service provider agencies to ensure that trainings for relevant staff are provided by informed experts in the field of domestic violence, dating violence, sexual assault, stalking, and human trafficking. Hennepin County access providers shall make safety referrals to victim service providers as determined to be clinically appropriate or at the request of the individual.
Access to Emergency Shelter Procedure – Singles

If a person is in need of shelter, they are encouraged to visit the Adult Shelter Connect (ASC) during business hours or call (once they have had their initial HMIS assessment) during after-hours. If a bed is available, a reservation will be made for them in HMIS.

Eligibility determinations
To be eligible to access shelter provided by a member of the Single Adult Shelter Collaborative, a person must:

- Be experiencing an episode of literal homelessness according to the HUD definition.
- Be in need of emergency shelter
- Be 18 years or older living with no dependents.

Each individual who meets those requirements will have to visit the Adult Shelter Connect (ASC) first in order to have a profile created for them in HMIS/ServicePoint and be referred to a shelter bed. The ASC will assess eligibility and conduct a diversion assessment as part of the initial intake into the shelter system.

Assessment Policies – Singles
Hennepin County Individuals without minor children ages 16 and older are eligible to access the Coordinated Entry System. Specifically, those with ties to Hennepin County and those who meet the definitions of the categories listed below:

1. Part of HUD Category 1; Individual who lacks a fixed, regular, and adequate nighttime residence, meaning:
   (i) Has a primary night time residence that is a public or private place not meant for human habitation;
   (ii) is living in a publicly or privately operated shelter designated to provide temporary living arrangements (including congregate shelters, hotels and motels paid for by charitable organizations, or by federal, state and local government programs).

2. HUD Category 4
   Any individual who: is fleeing, or is attempting to flee, domestic violence, dating violence, sexual assault, stalking, or other dangerous or life-threatening conditions that relate to violence; has no other residence; and lacks the resources or support networks to obtain other permanent housing.

The CES Assessment is an iterative process that may take place over a period of several days and involves several points of contact. Assessment shall only involve the collection of information essential to ascertain the immediate crisis and match the client to the appropriate interventions. To the extent that the assessment entity also provides a longer-term case management function, it is possible that later stages of assessment will probe for information beyond that needed for service-matching; however, at every stage, the information collected should only be that which is necessary to complete the function at hand. The Hennepin County assessment tool and process shall include the following:
- **Document client’s homelessness history and housing barriers.** Gather sufficient information to allow for appropriate placement and for the creation of an accurate housing and service plan to address a client’s needs.

- **Respect client preferences.** Ask direct questions about needs and preferences of the client in order to ensure the best assessment.

- **Capture enough data to meet project needs and funder requirements while using trauma informed care to reduce impact on the client.** Design assessment forms to represent the intake data needs for the full continuum of services that is offered within the CoC.

- **Obtain consent for sharing data with providers.** Comply with local, State, and Federal requirements. Including, the Coordinated Entry Release of Information and for those participating in HMIS, the HMIS Release of Information.

- **Draft, or at least initiate, a housing plan.** Work with clients to begin development of a housing plan that can be transferred to the next stage of service.

- **Standardized practice.** Apply standard practices at every point of entry for every client in order to ensure consistent assessments.

### Assessment Workflow – Singles

CES providers shall administer the Hennepin County Coordinated Entry System (CES) Assessment Tool and Process as defined by the CES Leadership Committee. The assessment process must be standardized with uniform decision-making across all assessment locations and staff. If access points or assessment processes are conducted or managed by providers who do not receive HUD, State of Minnesota, or Hennepin County funds, those providers shall nevertheless abide by assessment standards and protocols defined by the CES Leadership Committee. CES will operate using a client-centered approach, allowing clients to freely refuse to answer assessment questions and/or refuse referrals.

1. Adult Shelter Connect complete the *Full ESG assessment and universal data elements* into HMIS upon entrance into the shelter system.

2. Clients who have stayed in emergency shelter or on the streets for a cumulative period of 14 days shall be identified to complete the *VI-SPDAT*. However, clients may request and receive a VI-SPDAT assessment at any time in the client’s first 14 days of service connection.

3. Trained assessor staff at designated access points administer the VI-SPDAT tool. Assessor staff will use the TAY VI-SPDAT for single adults who are 24 years of age or under. Based on the score, staff will either refer the individual to affordable housing resources (i.e., non-homeless designated options) or proceed to complete the *supplemental* part of the assessment. The latter serves to collect the demographic and contact information, barriers to housing, homeless history, and housing preferences needed to suitably match an individual with an appropriate supportive housing intervention.

4. Assessments will be completed in HMIS. If assessing agency is unable to complete assessments in HMIS or the individual opts out of their information being shared in HMIS, Assessor staff will complete assessment via the secure Hennepin County application named CES Connect.

5. For individuals who meet the definition of HUD Category 4 Population – Fleeing and/or Attempting to Flee Domestic Violence have the option of being assessed by a designated domestic violence service agency. If an individual chooses this option the following workflow will apply:
a. Individuals who meet the HUD Category 4 definition can be referred for assessment directly from their local domestic violence shelter and/or agency to a designated domestic violence assessing agency.

b. Designated domestic violence assessing agency will return and schedule CES Assessment within 10 business days.

c. Domestic violence assessing agency will complete full CES Assessment, Release of Information (Appendix A) and Fleeing Violence Verification Form (Appendix I) with individual.

d. CES Assessments will be completed via the secured application titled CES Connect. Assessing agency will store Fleeing Violence Verification Form for screened individuals and provide to housing provider if individual is referred.

e. Individuals will be prioritized equitably with all other individuals who have been assessed for CES.

Referral Criteria
The matching process and eventual referral linkage process takes into account the prioritization criteria for Hennepin CoC and funding requirements for each CoC project and program criteria. The order of client priority on the prioritization list will under no circumstances be determined or adjusted based on disability type or diagnosis.

Prioritization Criteria
Individuals who score 0-3 on the VI-SPDAT will not be prioritized for any housing option described here and should explore non-homeless designated resources.

Rapid Re-Housing Prioritization
Individuals will be referred to Rapid Re-Housing according to the following prioritization criteria. At least 90% of all available RRH resources must be filled with individuals that score for RRH based on the VI-SPDAT. Individuals will be prioritized based on highest VI-SPDAT score then highest number of HUD months homeless.

First Priority - Individuals scoring 4-7 on the VI-SPDAT and HUD Months Homeless (descending order)
Second Priority – Individuals scoring 0-3 on the VI-SPDAT and HUD Months Homeless (descending order)

Transitional Housing Prioritization
Individuals will be referred to Transitional Housing according to the following prioritization criteria: at least 75% of available TH units within Hennepin County must be filled with households that score for TH based on the VI-SPDAT range of 4-7 AND meet the criteria of at least one of the priority groups identified below:

- Youth – All individuals between the ages of 16-24.
- Domestic Violence survivors – Individuals who identify a domestic violence experience as the primary reason causing their housing crisis.
- Persons being released from correctional facilities and were homeless before entering prison/jail
• **Persons in the early stages of alcohol or drug addiction recovery** - Individuals who recently began receiving services to assist in their recovery from alcohol or other drug addiction. This can include (but is not limited to) people who were recently released from a treatment center or other institution.

• **Veterans (choosing Grant and Per Diem - GPD)**

Individuals will be prioritized based on highest VI-SPDAT score then highest number of HUD months homeless.

### Permanent Supportive Housing Prioritization

This list prioritizes chronically homeless individuals with the longest history of homelessness and with the most severe service needs. The sort order is as follows:

1. Disability: (Descending order from Yes, No, Data Not Collected, Client refused, Client doesn’t know, Missing)
2. Chronically Homeless: (Descending order: Yes, No, Missing)
3. VI-SPDAT Score: (Descending Order)
4. HUD Months Homeless: (Descending Order)
5. Residence Prior-TH: (Descending Order: Yes, No)

### Prioritizing on Chronic Index

Based on best practices of data quality, the Singles CES Leadership Committee authorized utilizing HMIS data (confirmed length of time homeless and disability status), to prioritize individuals who we known to be experiencing HUD Chronic Homelessness. This by name list is known as the Chronic Index. Inclusion on the Chronic Index is the first prioritization factor for CES units.

### Data Collection and Confirmation for Chronic Index

When someone accesses single adult shelter in Hennepin County, the service transaction is logged in HMIS and provides longitudinal length of stay data. That length of stay data is then paired with the self-report disability data-point gathered during the Essential Services Grant (ESG) assessment at intake into the system. If someone has not had any interaction with the HC homeless response system in over 90 days they are moved onto the ‘inactive’ tab of the Index and are moved back onto the active tab if they are still chronically homeless when they become ‘active’ in the system again (interaction with a Hennepin County HMIS service provider).

For street outreach clients (people sleeping in a place not meant for human habitation) case managers confirm that the person meets the definition of chronic homelessness (based on their internal data and self-report from the person) and that they are active in the system and report this info to Hennepin County’s Housing Stability Area staff. Advocates with HMIS can check to see if their client is on the chronic index by referencing the chronic index column in the ‘Length of Stay Report’ in ART.

If someone is missing from the index, ensure that they meet the definition, that they have a service transaction or assessment within the last three months recorded in HMIS, and that their HMIS profile disability status is accurate and up to date. Contact ces.hennepin@hennepin.us if you have any questions or believe someone should be on the Index who isn't currently.
HUD Chronic Programs
The following table describes HUD’s allowance for HUD Chronic designated units in the event that no Chronically Homeless Individuals are on the Priority List.

<table>
<thead>
<tr>
<th>Priority</th>
<th>Description</th>
<th>Length of Time Homeless</th>
<th>VI-SPDAT Acuity</th>
<th>Documented Disability</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>HUD Chronically Homeless</td>
<td>&gt;12 months cumulative or 4 episodes in 3 years totaling one year in an emergency shelter</td>
<td>8 or greater</td>
<td>Yes</td>
</tr>
<tr>
<td>2</td>
<td>HUD Chronically Homeless</td>
<td>&gt;12 months cumulative or 4 episodes in 3 years totaling one year in an emergency shelter</td>
<td>0-8 (Descending Order)</td>
<td>Yes</td>
</tr>
<tr>
<td>3</td>
<td>Most Severe Service Need</td>
<td>High VI-SPDAT Acuity, disability and most severe service need</td>
<td>8 or greater</td>
<td>Yes</td>
</tr>
<tr>
<td>4</td>
<td>Long History of Homelessness</td>
<td>Long period of cumulative or episodic homelessness</td>
<td>8 or greater</td>
<td>Yes</td>
</tr>
<tr>
<td>5</td>
<td>HUD Homeless</td>
<td>Place not meant for human habitation, safe haven or emergency shelter</td>
<td>8 or greater</td>
<td>Yes</td>
</tr>
<tr>
<td>6</td>
<td>Transitional Housing</td>
<td>Homeless families with a disability coming from transitional housing</td>
<td>8 or greater</td>
<td>Yes</td>
</tr>
</tbody>
</table>

Prioritization and Referral Workflow for Hennepin County CES Team

1. A Referral Request Form (See appendix B) is received is the CES inbox. The CES Team reviews Referral Request Form to obtain client eligibility information associated with the housing.
2. The CES Team generates Priority List report from HMIS ServicePoint and CES Connect to identify eligible individuals who may be appropriate for available housing and navigation services.
3. The CES Team reviews Priority List report and matches those prioritized to available housing provider based on known information about client demographics, attributes, and housing preferences.
4. The CES Team refers individual referral to housing provider via HMIS ServicePoint or secure email when necessary.
5. If a replacement referral is requested, the CES Team will review previous associated denial to ensure denial is appropriate and allowable according to CES policies and procedures as established by CES Leadership Committee.
6. If the CES Team determines the denial is valid, staff will attempt to provide replacement to the housing provider is provided in prioritized manner.
7. If the CES Team determines the denial is **not** valid, the staff will promptly initiate contact to further discuss the conclusion. The CES Team may meet with the provider to discuss the referral and encourage/instruct the provider they are obligated to accept the referral per funding requirements. If the provider continues to deny the referral, The CES Team will discuss with funder and contract manager as applicable.

**Housing Provider Referral Workflow**

The process of managing referrals has two work flows based on which application was utilized for assessment, CES Connect or HMIS. For individuals assessed in HMIS referrals will be managed in HMIS. For individuals assessed in CES Connect referrals will be managed through encrypted email.

*Refer to HMIS Workflow Instructions for detailed instructions at [https://hmismn.org/coordinated-entry/](https://hmismn.org/coordinated-entry/).*

**Request and Accepting a Referral for Individuals Assessed in HMIS**

1. Housing and service providers complete Referral Request Form (See Appendix B) for all vacancies anticipated within 30-60 days, and vacancy form is e-mailed to the Coordinated Entry Inbox. The Referral Request form will be completed for available beds, units, or scattered site housing opportunities or vouchers. Programs reporting vacancies must include criteria for the unit, including physical traits of the particular unit.
2. Vacancies that are unexpected should be reported at the earliest possible time.
3. CES Team identify individual and complete referral process in HMIS.
4. Housing Provider receives automated notification from HMIS ServicePoint of referral or secure email with referral packet.
5. Housing provider acknowledges referral and Accepts referrals within **two business days** in HMIS.
6. Housing Provider should begin to engage with referral immediately upon receiving from CES. Housing Provider is expected to attempt to locate individuals for a minimum of **two weeks**, including utilizing listed alternative contacts, case managers, assessors, shelter advocates and any other applicable individual.
7. Outcome of referrals must be reported in HMIS, including but not limited to, Decline, Cancel, and Housing Move-In Date.

**Request and Accepting a Referral for Individuals Assessed in CES Connect**

1. Housing and service providers complete Referral Request Form (See Appendix B) for all vacancies anticipated within 30-60 days, and vacancy form is e-mailed to the Coordinated Entry Inbox. The Referral Request form will be completed for available beds, units, or scattered site housing opportunities or vouchers. Programs reporting vacancies must include criteria for the unit, including physical traits of the particular unit.
2. Vacancies that are unexpected should be reported at the earliest possible time.
3. CES Team identifies individual and sends encrypted email with individual’s assessment to Housing Provider.
4. Housing Provider should begin to engage with referral immediately upon receiving from CES. Housing Provider is expected to attempt to locate individuals for a minimum of **two weeks**, including utilizing listed alternative contacts, case managers, assessors, shelter advocates and any other applicable individual.
5. Outcome of referrals (Decline, Cancel, Housing Move-In Date) must be reported back to CES Team utilizing Referral Outcome form. Email form to CES Team at CES.Hennepin@Hennepin.us.
Referral Outcome

Acceptance into Program
All referred individuals should have a program Entry (Project Start Date) in HMIS. To confirm a successful housing placement, Housing provider should enter Housing Move-In Date in HMIS. For Non-HMIS referrals, submit Referral Outcome form to CES inbox by noting the Housing Move-In Date.

Denials
Decline
Declined referrals should be processed in HMIS ServicePoint for individuals referred through HMIS. For individuals referred through encrypted email (assessed in CES Connect), declines need to be processed by utilizing the Referral Outcome Form (Appendix C) and submitting to CES Team (email at CES.Hennepin@Hennepin.us). Provider will be required to provide justification for denial in detail, including: communication attempts with client, specific criminal or housing history that prevents acceptance of referral, or other similar details. Possible reasons for declining a referral: individual does not meet program eligibility requirements (including property management), individual refuses referral, client safety concerns, etc. To receive replacement referrals, Housing Providers should submit the Referral Request form and check the “Replacement” box.

Cancel
Canceled referrals should be processed in HMIS ServicePoint for individuals referred through HMIS. For individuals referred through encrypted email (assessed in CES Connect), cancels need to proceed by utilizing the Referral Outcome Form (Appendix C) and submitting to CES Team (email at CES.Hennepin@Hennepin.us). Provider will be required to provide justification for denial. Possible reasons for canceling a referral: individual is no longer in need of housing through CES (self-resolved, deceased), unable to locate after at least two weeks of diligent attempts, placed in institutional setting. To receive replacement referrals, Housing Providers should submit the Referral Request form and check the “Replacement” box.

Referral Denials - Singles
By Individual
Clients may reject up to three housing referral placements and continue to maintain their position on the priority list. If client continues to turn down available housing opportunities, a more purposeful engagement strategy will be employed (Access Team, Collaborative Review, Youth Case Conferencing). After first denial, provider staff at access points should engage with client to highlight the fact that housing resources are limited and therefore they may not receive another referral.

By Housing Provider
Hennepin CoC providers and program participants may deny referrals from the CES, although service denials should be infrequent and must be documented in HMIS or other comparable system with specific justification as prescribed by the Hennepin County CES Leadership Committee. The specific allowable criteria for denying a referral shall be published by each project and be reviewed and updated annually. All participating projects and clients shall provide the reason for service denial, and may be subject to a limit on the number of service denials.
If a provider denies three referrals in a row, OR if the CES Team identifies a pattern of denials over time, the organization will be required to participate in a conferencing meeting with the CES Team and a staff person representing the funding source (e.g., CoC Coordinator, LTH Housing Support Planning Analyst, etc.).

If the denial is the result of a third party property management/landlord (private or partner of service provider) rejecting the individual’s application, the rejection will trigger a case conferencing meeting. If the household chooses to appeal this decision, a new referral will not be provided to the housing program until the appeal process has reached its conclusion.

If a private landlord is unable to be located for use with a voucher or scattered site program after an exhaustive search, the provider may request a new referral.

The onerous of verifying homeless status and gathering required documentation is with the Housing Provider. Denial of referrals for such reasons are not acceptable.

**Visual of Single Adult Work Flow**

**Hennepin County Single Adults CES Assessment and Referral Process**
Fair Housing, Tenant Selection and Other Statutory and Regulatory Requirements

All CoC projects in Hennepin’s Coordinated Entry System must include a strategy to ensure CoC resources and CES options (referral options) are eligible to all persons regardless of race, color, national origin, religion, sex, age, familial status, disability, actual or perceived sexual orientation, gender identity, or marital status. Special outreach to persons who might be or identify with one or more of these attributes ensures CES is accessible to all persons.

All CoC projects in Hennepin’s Coordinated Entry System must ensure that all people in different populations and subpopulations throughout Hennepin County, including people experiencing chronic homelessness, veterans, families with children, youth, and survivors of domestic violence, have fair and equal access to the coordinated entry process, regardless of the location or method by which they access the crisis response system.

All CoC projects in Hennepin’s Coordinated Entry System must document steps taken to ensure effective communication with individuals with disabilities. Access points must be accessible to individuals with disabilities, including physical locations for individuals who use wheelchairs, as well as people in Hennepin County who are least likely to access homeless assistance.

CES Monitoring and Evaluation.

Monitoring and Reporting of CES

Hennepin County shall adhere to HUD’s defined monitoring and reporting plans for CES. The monitoring process will report on performance objectives related to CES utilization, efficiency and effectiveness.

Hennepin County CES Reporting Requirements shall be reported quarterly by the CES Team to the CoC membership and the community at large and include the following elements:

- Narrative description of the status of CES implementation, barriers and challenges experienced, and plans for expansion and improvements in the future
- CES performance indicators may include the following:
  1. Number of persons and individuals receiving CES services
     a. Number seeking assistance/referred to CES
     b. Number completing initial triage/diversion screen
     c. Number completing client intake/assessment
     d. Number completing comprehensive/housing assessment
  2. Demographics and attributes of persons/households receiving CES assistance (from 1d above)
  3. Number of persons and individuals by VI-SPDAT score
  4. Number of persons and individuals receiving CES referrals to the following
     a. Self-Resolve
     b. Rapid Rehousing
     c. Transitional Housing
     d. Permanent Supportive Housing
5. Destination of persons and individuals to each service strategy as a result of CES referral
   a. Rapid Rehousing
   b. Transitional Housing
   c. Permanent Supportive Housing
   d. All other

6. Length of time from completion of CES comprehensive/housing assessment to program entry
   a. Average length of time from assessment to referral for each component type
   b. Average length of time waiting on prioritization list for each component type

7. Number of persons who waited for each CoC component type for greater than 30 days

**Evaluation**

Hennepin County will conduct a comprehensive system evaluation of CES to ensure that both qualitative and quantitative information are collected and used to identify opportunities for continuous system improvements. Specifically, Housing Stability on behalf of the CES Leadership Committee is responsible for:

- Leading periodic evaluation efforts to ensure that the CES is functioning as intended; such evaluation efforts shall happen at least annually.
- Leading efforts to make periodic adjustments to the CES as determined necessary; such adjustments shall be made at least annually based on findings from evaluation efforts.
- Ensuring that evaluation and adjustment processes are informed by a broad and representative group of stakeholders
- Ensuring that the CES is updated as necessary to maintain compliance with all state and federal statutory regulatory requirements.

Evaluation efforts shall be informed by metrics established annually by the CES Leadership Group in consultation with the community and county staff. These metrics will be displayed on dashboards located on the CES website and shall include indicators of the effectiveness of the functioning of CES itself, such as:

- Wait times for initial contact
- Extent to which expected timelines described in this manual are met
- Number/Percentage of referrals that are accepted by receiving programs
- Rate of missed appointments for scheduled assessments
- Number/Percentage of persons declined by more than one (1) provider
- Number/Percentage of CES Team Referral appeals
- Number of program intakes not conducted through CES
- Completeness of data on assessment and intake forms

In addition, these metrics shall also include indicators of the impact of CES on system-wide CoC outcomes, such as:

- Individuals referred have length of stays consistent with system guidelines
- Waiting lists are reduced for all services; eliminated for shelter
- Program components meet outcome targets for program-level measures
- Reductions in long term or chronic homelessness
- Reduction in Individual homelessness
- Reductions in returns to homelessness
- Reduced rate of individuals becoming homeless for the first time.
Termination

Any Authorized User Agency may terminate their participation in the Coordinated Entry System by giving written notice. Housing programs that are required to participate due to HUD guidelines will need HUD approval to terminate participation.

Grievance and Appeals

Coordinated Entry System Grievance and Appeals Policy
The purpose of the grievance and appeals process is to ensure that if a client has a problem or concern with the Coordinated Entry System (CES) they have a confidential means to report the concern. Completing the grievance form (see appendix D) will not negatively affect their status within CES.

The form should be completed if the grievance relates to one of the following:

- Access to Coordinated Entry System (i.e. no assessment provided)
- Assessment (i.e. scoring)
- Prioritization (i.e. disagreement with housing designation)
- Housing referral (i.e. lack of follow through from housing provider)
- Other (please be specific)

Note: if a grievance is about an agency, the Adult Shelter Connect or the shelter system, the client should go through that agency’s grievance process. In addition, CES cannot guarantee placement into permanent housing, as demand for housing is far greater than the supply.

Grievance Procedure
1. Client should complete the CES Grievance and Appeals form. Please explain the complaint, grievance or issue, and include the names of those involved and dates. The complaint should be as specific as possible.
2. Client should email the completed form to CES.Hennepin@Hennepin.us with the subject line “CES Complaint”. They can expect a response that the form was received within 5 business days.
3. Housing Stability Area will review the grievance, verify the grievance process is the appropriate place for the complaint, complete an investigation and clearly document their findings.
4. Housing Stability Area will respond to the complaint with recommended solutions within 10 business days of receiving the complaint.
5. Housing Stability Area will track all complaints in an effort to determine system wide patterns or problems that can be addressed. They will report the number of complaints received, types of complaints and the outcomes/resolutions of the complaints on a monthly basis to the CES Leadership committees. All identifying Information regarding individual clients will be kept confidential and not shared with the leadership committees.
Transfers

Transfer Policy for Hennepin CoC

Through Coordinated Entry, a clear process has been established for assessing, prioritizing and referring people who are experiencing homelessness to the different categories of homeless designated housing interventions. In order of intensity of support the interventions covered by this document are:

- Rapid ReHousing (RRH)
- Transitional Housing (TH)
- Permanent Supportive Housing (PSH)

There are cases, however, when the type of housing intervention may be found to no longer meet the needs of the household post-program entry. Transfers are appropriate for households with the following circumstances:

- The household has a current HMIS program entry for a homeless-dedicated housing program that reports to Hennepin County CES.
- The needs of the household have changed since program entry; or
- The understanding of the needs have changed since program entry.

In such cases there can be legitimate reasons for seeking a transfer to another housing program. In considering the types of transfer that may be requested, the committee workgroup on this subject recommends the following decision rules be applied:

<table>
<thead>
<tr>
<th>Transfer type</th>
<th>Y</th>
<th>N</th>
<th>Needs review</th>
</tr>
</thead>
<tbody>
<tr>
<td>Single ↔ Families (for same intervention type)</td>
<td>X</td>
<td></td>
<td></td>
</tr>
<tr>
<td>PSH ↔ PSH</td>
<td>X</td>
<td></td>
<td></td>
</tr>
<tr>
<td>PSH → TH</td>
<td>X</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Single RRH/TH → Families PSH</td>
<td></td>
<td>X</td>
<td></td>
</tr>
<tr>
<td>RRH/TH → PSH (for same client group)</td>
<td></td>
<td>&lt; 3 mths service remaining</td>
<td>Transfer request form will be reviewed by CES Leadership Committee</td>
</tr>
</tbody>
</table>

Transfers are not appropriate for reasons related to protected class status only, including race, color, national origin, religion, sex, disability, age, genetic information, marital status, sexual orientation, gender identity, and being a member of a local human rights commission.
Transfer Procedure

If ‘N’: The transfers are not allowed under any circumstances

If ‘Y’: A transfer request form is required for all transfer requests and will be reviewed by the CES team. Include a CES Release of Information if one is not present in HMIS. The current housing provider is responsible for identifying another provider/program of the appropriate typology that is willing to accept the household and all coordination. Upon agreement of the two providers, the transfer request form is to be sent to the CES inbox (ces.hennepin@hennepin.us) for review and approval. Upon approval:

1. Current program will provide all eligibility paperwork to the program accepting the transfer
2. Current program will request a new referral from the appropriate system (singles/families) upon completion of transfer.

Receiving provider is responsible for confirming eligibility. If no willing provider/program can be found, no transfer is possible.

CES Leadership Committees will receive periodic updates on the number of transfers requested.

If ‘Needs review’: The Transfer Request Form submitted to the CES Team will be reviewed by the Leadership Committee. If the Leadership Committee approves the request, follow directives for approved transfers.

Please see Appendix E for Transfer Request Form.

Sub-Population Specific Protocols

Veterans

Veterans identified through the CES will be strongly encouraged to sign an additional release of information to be added to the Veteran’s Registry. Once on the Registry, veterans who are prioritized based on VI-SPDAT score and who meet program eligibility criteria will have access to both homeless designated housing units available through the CES and to veteran-specific units not accessed through CES (i.e., VASH, SSVF, etc.).

The CES will work closely with representatives from MAC-V, the VA, the State, and other relevant stakeholders to ensure veterans are able to access the full spectrum of housing resources designated for that population.

Accelerated Prioritization for Veterans Experiencing Homelessness Policy

Cases have been identified where veterans are not eligible or are otherwise unable to avail themselves of veteran specific resources and are also unlikely to be prioritized for homeless-designated housing in a timely fashion. In order to prevent these and other veterans from falling through the cracks between systems, the CES will utilize a targeted form of “veteran preference” to ensure that such veterans are our highest priority within the Coordinated Entry System.
**Accelerated Prioritization for Veterans Experiencing Homelessness Procedure**

Minnesota Department of Veterans Affairs (MDVA) will submit the Accelerated Coordinated Entry for Veteran’s Form (see Appendix F) on behalf of the veteran who will then be referred to the next rapid rehousing or permanent supportive housing program that reports a vacancy and dependent upon which intervention their Coordinated Entry assessment has identified as most suitable. Accelerated Coordinated Entry for Veteran’s Form shall be emailed to ces.hennepin@hennepin.us

On the basis of the veterans identified in the case data review, and to allow for confirmation of veteran status and attempts at resolution through mainstream supports, the policy targets those identified as experiencing homelessness at least 45 days prior to the request.

This applies when:

- Veteran status is confirmed
- Needs can’t be met by VASH or SSVF
- Eligible for homeless designated housing and assessed for Coordinated Entry process
- Has not been referred to homeless designated housing and is unable to self-resolve.

**Victims of Domestic Violence**

The CES system will work in partnership with advocacy organizations/shelters serving victims of domestic violence in order to ensure considerations are made to address the specific safety and privacy needs of victims. This includes individuals having the ability to decline housing in neighborhoods that would compromise their location, the choice to be entered anonymously into database, and have full access to housing options.

The Survivor Service Provider Committee will continue to build coordination, communication and policy recommendations with the homeless response system and providers through:

- Ongoing annual training and cross-training for housing and domestic violence providers and CES staff
- Ongoing technical assistance for housing and victim service providers
- Analyzing and monitoring CES data specific to survivors unmet need for housing and services

**Emergency Transfer Policy**

CES will attempt to address the safety needs of domestic violence, dating violence, sexual assault, and stalking survivors who have been housed in Hennepin County homeless dedicated housing programs through Coordinated Entry, if the Transfer Policy fails to meet the immediate safety needs of the household. Throughout this process wherever possible, household information will be de-identified and kept confidential.

If no immediate, viable transfer is available through the current Housing Provider, the Housing Provider should follow the Emergency Transfer Procedure to initiate assistance from the Coordinated Entry System.

**Emergency Transfer Procedure**

A Housing Provider may initiate the Emergency Transfer Policy through submitting the Transfer Request Form (see Appendix E) to CES.Hennepin@Hennepin.us and indicating that an Emergency Transfer is needed.
Housing Provider should indicate household’s ideal and needed housing setting for the purposes of safety and security. The household will then be prioritized for the next available housing vacancy. While CES will prioritize the household for the next available vacancy that would meet the indicated need, CES cannot guarantee a housing placement or timing. The current housing provider should continue to safety plan with household and follow best practices to ensure rapid, quick and safe resolution is met. If a match is able to be completed, CES Staff will assist in facilitating a warm hand off between the previous housing provider and new provider.

Youth
The Singles Leadership Committee worked in partnership with Hennepin County CoC youth community to establish policies and procedures specific to the unique needs of Youth.

Youth shall have access to the single adult CES. Youth-specific access and assessment points have been established as:

<table>
<thead>
<tr>
<th>Drop In Centers</th>
<th>Oasis For Youth, Youthlink, MoveFwd</th>
</tr>
</thead>
<tbody>
<tr>
<td>Outreach</td>
<td>YMCA of the Greater Twin Cities, The Bridge for Youth, The Link, YouthLink, Catholic Charities Hope Street, MoveFwd, Oasis for Youth</td>
</tr>
<tr>
<td>Shelters</td>
<td>Avenues for Homeless Youth, The Bridge for Youth, Catholic Charities Hope Street</td>
</tr>
</tbody>
</table>

Unaccompanied Minor Youth
Unaccompanied minor youth within the ages of 16-17 years of age, shall have access to CES. Individuals can be assessed for CES in HMIS or CES Connect, where their information will not be shared statewide.

Coordinated Entry System Unaccompanied Minor Youth Prioritization Policy
Policy: Unaccompanied minor youth within the ages of 16-17 years of age, shall have access to CES. Individuals can be assessed for CES in HMIS or opt out of HMIS and be placed on the Non-HMIS priority list. Few programs exist that serve unaccompanied minor youth, to ensure access to housing through CES, the Coordinated Entry System Unaccompanied Minor Youth Prioritization Policy was created.

Procedure: CES will prioritize unaccompanied minor youth for any vacancy request that is able to serve an unaccompanied minor youth. The prioritization of these unaccompanied minor youth refers to youth that currently meet the eligibility for a coordinated entry assessment (i.e. in a shelter for at least 14 days or in a place not meant for human habitation). When a housing provider submits a vacancy request, they will note the ages that the vacancy can serve (i.e. 16-21). If there is an unaccompanied minor youth that is currently on the
coordinated entry priority list, that youth will be prioritized for the vacancy over other youth that would otherwise be eligible.

Program Closure

Program Closure Policy
Homeless dedicated, supportive housing programs which experience the need to cease operations may utilize Coordinated Entry as a resource for individuals enrolled in the effected program. However this utilization should not be viewed as the primary solution to all households enrolled in the program and continued, individualized transition planning should continue for each household. Coordinated Entry should be viewed as a resource for families with a continued, ongoing need for supportive housing who are likely to experience homelessness again without the continuation of services.

Program Closure Procedure
1. When a program has been notified that its funding source is no longer available and no alternative funding sources have been identified for the continuation of services, Coordinated Entry will no longer continue to place households within reported vacancies.
2. If the program wishes to utilize Coordinated Entry for transition planning for any households still enrolled in the program, as depicted above, a written request is required to be submitted to the Coordinated Entry Leadership Committee Chair who will bring the request to the Leadership Committee for approval. Requests submitted within three months of program closure date will not be approved.
3. The housing provider will be asked to participate in case conferencing with the Housing Referral Coordinator per requested transfer- providers will be asked to provide homeless documentation from program entry, disability documentation if applicable and updating current contact information and household composition within HMIS. Providers will be asked to participate in a warm hand off to the new provider if a transfer is made possible through Coordinated Entry.

Coordinated Entry will make every effort to match those approved for transfer to a new program prior to program closure, however, housing is not guaranteed through CES and the original provider should continue to make every effort to transition plan with the household accordingly.

Assessment Changes Policy
To provide guidance and procedure in which singles and youth who have been assessed using the VI-SPDAT would qualify to be re-assessed and when the current assessment should be updated.

Updating an Assessment
A current assessment should be updated if:

a. A client’s assessment is less than one year old
b. A client’s housing history or homeless status has changed since point of assessment
c. Income amount has changed
d. New disability information is diagnosed or disclosed

e. Contact and/or whereabouts have changed

f. Program preferences and housing needs are learned and should be documented in notes

Updating an assessment should be completed within HMIS following the directions for interim updates offered by Hennepin County and ICA, unless the client has opted out of HMIS.

Re-assessment
Clients are eligible for re-assessment if:

a. A client’s assessment is over one year old

b. A client has encountered a significant life change or event, which may directly impact and change the type of housing intervention they receive

c. If a single adult or youth becomes pregnant or parenting they are eligible for re-assessment in the family system

Re-assessment should be completed within HMIS following the direction and guidance offered by Hennepin County and ICA, unless client has opted out of HMIS.

Score Revision Tool - Updating a VI-SPDAT Score
If acuity is not accurately depicted on first assessment and self-report appears to be drastically different than what documented history reflects and it is also impacting appropriate housing intervention level, follow the score revision tool guidelines below. This tool should be utilized solely for those whose current score and intervention level is inaccurate based on documented knowledge. Refer to Appendix H for Score Revision Form

Policy
Clients are eligible to have their score revised if:

a. The client’s acuity is not accurately depicted using the VI-SPDAT solely as self-report

b. Information the client did not disclose, such as diagnosis, chemical use etc. have directly impacted and/or correlated with current homeless episode and if disclosed would drastically impact their current VI-SPDAT score and suggested housing intervention

Procedure
- The score revision worksheet should be completed in its entirety and emailed directly to CES.Hennepin@hennepin.us and provide all required documentation
- CES Team will review and determine if the score revision worksheet is necessary, tally and update information within HMIS under the score override sub assessment.

Inactivity
An Inactive Policy is a critical component of a functional and responsive Coordinated Entry System. A thoughtful Inactive Policy ensures that the Priority List is as close to a true snapshot of those who are in our homeless system and eligible
Coordinated Entry System Inactive Policy
Assessments in HMIS
If an individual has had no activity (no services, entry/exits, no shelter stays) in HMIS for 90 days or more, said individual will be removed from the Priority List.

Assessments in CES
If an individual has had no activity demonstrated in the assessment by the assessor for 90 days or more, said individual will be removed from the Priority List.

Coordinated Entry System Inactive Procedures
Assessors and housing providers are required to remove individuals who they know to have not been active in Hennepin County’s homeless response system for 90 plus days using the proper workflow in HMIS. In addition to their own knowledge of the individual, the Service Transactions tab in HMIS should be used to determine if the individual is “active” (had at least one interaction with the system in the past 90 days). All advocates and housing providers who have access to HMIS are responsible for this task. If an assessed individual is working with a provider that is not utilizing HMIS and said individual is active and eligible to continue being placed on the priority list, provider should reach out to a trained assessor or the Coordinated Entry System team to create a service transaction to demonstrate individual’s activity in the homeless response system.

The Hennepin County Coordinated Entry System team will regularly run an “inactive” report in HMIS to assist in identifying those who are inactive and remove from the Priority List accordingly.

If, at any point, an individual returns to the homeless response system, and they have a CES assessment in HMIS that is no more than 12 months old, that individual can be added back to the CES Priority List. All advocates and housing providers who have access to HMIS are responsible for this task.

Re-Referring
Re-Referral Policy
Housing providers may request re-referral of an individual who had been previously referred and declined or cancelled for any reason.

Re-Referral Procedure
CES will re-refer clients if the original referral to the housing provider occurred within the last 12 months AND if the requesting housing provider was the client’s most recent referral.
# Appendix B: Referral Request Form

**Hennepin Coordinated Entry System**

## Housing Provider Contact Information

<table>
<thead>
<tr>
<th>Agency Name:</th>
<th>Program Name:</th>
</tr>
</thead>
<tbody>
<tr>
<td>HMIS Program ID:</td>
<td></td>
</tr>
<tr>
<td>Person Completing Form:</td>
<td></td>
</tr>
<tr>
<td>Email:</td>
<td>Phone:</td>
</tr>
</tbody>
</table>

| Intended Case Manager (if known): |
| Email: | Phone: |

## Type of Program

- PSH
- TH
- RRH

## Household Type

- Single Adult
- Family – Single-Parent Household
- Family – Two-Parent Household

## Head of Household Age

- 16-21
- 18-21
- 18-24
- Any Age
- Other:

## Household Type

- Checking Box if REPLACMENT Referral

## Location

- Scattered Site
- Site-Based

## Expected Vacancy Date:

| City: |
| Neighborhood: |

## Type of Program

<table>
<thead>
<tr>
<th>Number of Vacancies</th>
</tr>
</thead>
</table>

## Number of Vacancies

## Check Box if REPLACMENT Referral

## Family Size

- Any Family Size
- Not Applicable (single adult/youth)

| Parent(s), Children |

## Location

| City: |
| Neighborhood: |

## Unit Size (if applicable)

- Studio/Efficiency
- 1BR
- 2BR
- 3BR
- 4BR
- Other:

## Unit Size (if applicable)

## Family Size

## Income

| Household must have verifiable income |
| Minimum Monthly: |
| Maximum Monthly: |

## Disability

- HoH must have disability impacting ability to work
- A household member must have a disability
- No disability requirement
- Other:

## Disability

## Sobriety

- No sobriety requirement
- Client must be sober

### Please elaborate:

## Disability

## Sobriety

### Please elaborate:

## Disability

## Income

| Household may have zero income |
| Maximum Monthly: |

## Income

| Household may have zero income |
| Maximum Monthly: |
Appendix C: Housing Referral Outcome Form

Utilize this form to notify the CES of the referral outcome of referrals made through encrypted email. A narrative description is required for all denials. Submit form as soon as outcome is known.

**Housing Provider Contact Information**
Agency Name:
Program Name & HMIS Provider ID:
Staff Name:
Email:
Phone:

**Referral Information**
Client ID or HMIS ID:
Date referral received:

**Referral Outcome**
- □ Declined (Referral Denied, placed back on Priority List)
- □ Canceled (Referral Denied, Needs to be removed from Priority List)
- □ Housed
- Date Housed:

**If Declined or Canceled, Reason:**
- □ Participant unreachable- after initial contact
- □ Participant unreachable – disappeared
- □ Participant refused services
- □ Participant is eligible but provider unable to accept
- □ Participant is not eligible, over income
- □ Participant is not eligible, other
- □ Participant is placed in institutional setting
- □ Other
- □ Property management denial – criminal history
- □ Property management denial – eviction history/money owed
- □ Property management denial – both criminal and eviction/money owed
- □ Property management denial – other
- □ Participant found housing/Self resolved
- □ Participant moved outside of CoC
- □ Participant deceased
Please provide a narrative description of the reason for denial. Be very specific:

How to submit this form:

Please send completed form to:
CES.Hennepin@hennepin.us

Appendix D: Grievance and Appeal Form
Coordinated Entry System Grievance and Appeals Form

If there is a problem or concern about the Coordinated Entry System, we want to know about it. The information on this form will be used to address your concerns and will be kept confidential. If you need assistance completing this form, please contact an advocate. Completing this form will not negatively affect your status within the Coordinated Entry System. Please bear in mind that the Coordinated Entry Process cannot guarantee placement into permanent housing, as demand for housing is far greater than the current supply in our community.

Name of person completing this form (grievant):
__________________________________________________________ Date of Birth: ____________________

Cell # _____________________________ Email: ____________________________________________

Secondary Phone # _____________________________

Preferred Method of Contact: □ Call □ Email

Alternative contact information: __________________________________________________________

Advocate and/or Interpreter Information: ________________________________________________

Can we leave confidential info with the alternate contact? □ Yes □ No

What is this in regard to:
□ Access to Coordinated Entry System (i.e. no assessment provided)
□ Assessment (i.e. scoring)
□ Prioritization (i.e. disagreement with housing designation)
□ Housing referral (i.e. lack of follow through from housing provider)
□ Other (please be specific)

Note: if you have a grievance about an agency, the Adult Shelter Connect or the shelter system please go through their grievance process.

Explain the complaint, grievance or issue, including the names of those involved and dates. Please be as specific as possible:

What has been done to fix this (by yourself or others)?

Follow up:
Notes/comments from Housing Stability Area staff:

<table>
<thead>
<tr>
<th>Housing Stability Area Staff:</th>
<th>Position:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Date Grievance Received:</td>
<td>Date Grievance Resolved:</td>
</tr>
<tr>
<td>Has the grievant been notified of the outcome? Yes ☐ No ☐ N/A ☐</td>
<td></td>
</tr>
<tr>
<td>If no or N/A, please explain why?</td>
<td></td>
</tr>
</tbody>
</table>

Appendix E: Transfer Request Form

Hennepin County CES Transfer Request Form
| **Today’s Date:** Click here to enter a date. | **Client Date of Birth:** Click here to enter a date. |
| **Client Name:** Click here to enter text. | **Client HMIS ID:** Click here to enter text. |
| **Current Housing Provider, Program, & HMIS Provider ID:** Click here to enter text. | **Month/Year Client Moved In:** Click here to enter text. |
| **Month/Year of Planned Exit Date:** Click here to enter text. | **Homeless Status at Entrance:** Click here to enter text. |
| **VI-F-SPDAT at Entrance:** Click here to enter text. | **Family Size:** Click here to enter text. |
| **Proposed Housing Provider, Program, & HMIS ID:** Click here to enter text. | **Name of Staff Completing Form:** Click here to enter text. |
| **Staff Contact Information:** Click here to enter text. | **Staff Contact Information:** Click here to enter text. |

**DECRIBE CHANGE IN SERVICE NEED**

Reason for Transfer Request: (Please be sure to include details about the household’s circumstance that warrant a transfer request) Click here to enter text.
1. Have the resident and housing provider discussed the change requested? Yes ☐ No ☐
2. Is the resident requesting an increased level of support? Yes ☐ No ☐
3. How is the current level of support not meeting the resident’s needs?
4. Was the resident’s level of service need accurately captured during the initial housing assessment? Yes ☐ No ☐
   a. If no, what was inaccurate or omitted? Click here to enter text.
   b. If yes, what has changed since the initial assessment? Click here to enter text.
5. What other options have the resident and provider reviewed? Click here to enter text.
6. What options have been considered so the resident can maintain their current residence? Click here to enter text.
7. Did a specific incident initiate this request? Yes ☐ No ☐
   If yes, please explain: Click here to enter text.

Name and Signature of Program Manager/Supervisor Approving this Request:

__________________________________________________________

Contact Email of Supervisor Approving Request: ________________________________

---

Appendix F: Accelerated Coordinated Entry for Veteran’s Form

Hennepin County Accelerated Coordinated Entry for Veterans

<table>
<thead>
<tr>
<th>Date Completed:</th>
<th>Submitted by (name / agency):</th>
</tr>
</thead>
</table>

---
## CLIENT INFORMATION

<table>
<thead>
<tr>
<th>Name</th>
<th>HMIS ID</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Date entered onto the Veterans Registry</th>
<th>DOB</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
</tr>
</tbody>
</table>

## OTHER IMPORTANT INFO

<table>
<thead>
<tr>
<th>Veteran Status</th>
<th>Has veteran status been confirmed, as defined in the federal benchmarks for ending veteran homelessness?</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>☐ Yes  ☐ No</td>
</tr>
<tr>
<td></td>
<td>Date on which veteran status confirmed:</td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Housing services</th>
<th>Has this veteran been assessed for Coordinated Entry in Hennepin County?</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>☐ Yes  ☐ No</td>
</tr>
<tr>
<td></td>
<td>Please note that referrals cannot be made until a completed CES assessment is available</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Housing services</th>
<th>Can their housing needs be met by VASH resources?</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>☐ Yes  ☐ No</td>
</tr>
<tr>
<td></td>
<td>If no, please provide brief explanation</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Housing services</th>
<th>Can their housing needs be met by SSVF resources?</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>☐ Yes  ☐ No</td>
</tr>
<tr>
<td></td>
<td>If no, please provide brief explanation</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Housing services</th>
<th>Is this veteran in the process of applying for or accessing other forms of housing?</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>☐ Yes  ☐ No</td>
</tr>
<tr>
<td></td>
<td>If yes, please provide brief explanation and current status</td>
</tr>
</tbody>
</table>

Please submit by e-mail to CES.Hennepin@hennepin.us

## Appendix G: Delayed Referrals Process

Delayed Referrals Process
This policy outlines the circumstances under which housing providers may obtain referrals from sources other than CES.
For the purposes of this and all policies regarding CES referrals, a CES referral is defined as: a VI-SPDAT assessment and supplemental questionnaire delivered from Hennepin County to the housing provider via HMIS or secure email.

This policy does not apply to referrals that are difficult to locate, engage, or qualify for a program.

When the Hennepin County CES Team is unable to provide a referral to a housing provider within 10 business days for an available program or unit opening, the housing provider may make the program/unit opening available to the community. It should be noted that Housing Providers are responsible for contacting their funding source to verify the expansion of the referral source BEFORE identifying individuals outside of CES.

1. If a referral has not been received by the housing provider within 10 business days of the request, the CES team notify the Housing Provider that they will be utilizing the delayed referrals process. For example: “[Housing provider] requested 10 referrals on 12.11.17. As of 12.22.17, seven referrals have been received. The remaining three referrals requested will be made available to the community.”
   a. Housing Provider should utilize email correspondence as documentation of appropriate steps taken related to CES referral policies.
2. The housing provider will notify CES team once a household is identified for the available program/unit opening.
3. If a Hennepin County household is identified, the household name will be cross referenced with the Priority List. If the household is on the priority list, the household will be referred to the housing provider.
4. If the CES team identifies an eligible household on the priority list, the HRC will contact the housing provider to find out if the provider can serve the household. The housing provider must prioritize the CES household for available openings not already slotted with an eligible household.
Appendix H: Score Revision Worksheet

Hennepin Coordinated Entry System
Single Adult VI-SPDAT Score Revision Worksheet

Directions: For each category where the score is not reflective of the client’s vulnerability, indicate the original score as self-reported and the revised score. Provide clear, specific rationale for the adjustment. You do not need to attach medical, court, or other records as “proof”, but note if they exist. Tally the total at the bottom of each column. Program manager/director must review and sign. Email to ces.hennepin@hennepin.us upon completion.

<table>
<thead>
<tr>
<th>Client Name:</th>
<th>DOB:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Person Completing Form:</td>
<td>Org:</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Categories</th>
<th>Original Score</th>
<th>Revised Score</th>
<th>Rationale</th>
</tr>
</thead>
<tbody>
<tr>
<td>Age</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>History</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>A. History</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Where You Sleep</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Length of Homelessness</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Emergency Service Use 4+ Times</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Risk of Harm</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Legal Issues</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Risk of Exploitation</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>B. Risks</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Money Management</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Meaningful Daily Activity</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Self-Care</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Social Relationships</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>C. Socialization and Daily Functioning</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Physical Health</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Substance Abuse</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Mental Health</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Tri-Morbidity</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Medications</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Abuse and Trauma</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>D. Wellness</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>TOTAL</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Program Manager/Director Name & Signature

December 2016 – Version 2
Appendix I: Fleeing Violence Statement

Fleeing Violence Statement

I, ________________________________, work for ________________________________  
Domestic Abuse/Trafficking/Sexual Assault Advocate or Counselor  
Name of Organization

and do hereby verify as follows:

I have a reasonable basis to believe ________________________________  
Name of Survivor(s)/Victim(s)  
is homeless. They are an individual or family who: Is fleeing, or is attempting to flee, domestic violence, dating violence, sexual assault, stalking, or other dangerous or life-threatening conditions that relate to violence; has no other residence; and lacks the resources or support networks to obtain other permanent housing.

__________________________________                 ______________________________  
Signature of Domestic Abuse/Trafficking/Sexual Assault Advocate or Counselor  
Date
Appendix J: Requesting Multiple Referrals

Hennepin Coordinated Entry System
Guide for Requesting Multiple Referrals

Single adult and non-parenting youth programs may request multiple referrals for each program opening. Programs that elect to do this must understand and adhere to the following parameters. Note that, depending on volume, the CES team may not be able to immediately fill all multiple requests, but will make every effort to do so. Housing providers may not seek additional referrals outside the CES.

1) Housing providers must make concerted attempts to locate every individual referred to them within two weeks of receiving referral and will work with each and every referral towards a housing outcome.
   • You must initiate the search process with all referred individuals within the same time frame. Do not request more referrals than you can realistically engage concurrently.
   • Not allowed when receiving multiple referrals:
     o Attempting to identify which one seems the “easiest” or “most likely to succeed” and only contacting those.
     o Starting with one and not proceeding with the next until you have a resolution on the first one.

2) Housing providers must serve all individuals who are able to be located, engaged, and wish to proceed with enrollment, within 1-2 months.
   • If you have one immediate vacancy, you can request 2-3 referrals. If all three are located and engaged, the first one to complete the application and be approved would get the first available unit. The second/third individuals would be offered units that are known to be available within the next 1-2 months.
     o If the individual does not wish to wait, they can decline to participate and be sent back to the Priority List. However, please advise them that they may not be prioritized immediately for another opening if prioritized at all.
   • Providers should consider current and anticipated vacancies when deciding whether to request multiple referrals. Your program is responsible for examining turnover and determining realistic projections. A max of three referrals can be requested per opening.

3) Housing providers must report the outcome of every referral according to the timelines prescribed by CES.
   • A referral that could not be contacted/located or is quickly determined to not be eligible for another reason should be returned within two weeks. Denials that come later in the process should be reported as soon as possible.