

HENNEPIN COUNTY
MINNESOTA

Solicitation for Grant Applications

Healthy Tree Canopy Grants for nonprofits

Application due date: June 18, 2020, at 3 p.m.



1 Introduction

1.1 Project overview

The County of Hennepin, State of Minnesota (“County”) is soliciting applications for the Healthy Tree Canopy Grant (the “Grant”). As used herein, the entity/individual submitting a response to this solicitation may be referred to as the “Applicant” and the Applicant’s response to this solicitation (the “Solicitation”) may be referred to as the “Application”.

Healthy Tree Canopy Grants were established to help communities make positive changes in the tree canopy and engage residents in taking action to protect trees.

Goals

- Assist eligible recipients in planning, preparing for, and managing destructive invasive insects and diseases such as emerald ash borer or Asian long-horned beetle, establishing tree care activities such as planting and pruning, and increasing current tree diversity.
- Educate students and/or community members in learning about the importance of trees and engage them in taking actions to protect trees.
- Increase the diversity and resiliency of the tree canopy, accommodate new species that are well-adapted to our changing climate, and recognize that trees are assets that require regular maintenance and provide significant benefits.

1.2 The Grant

To be eligible for the Grant, Applicants must be a non-profit 501(c)(3) and located in Hennepin County.

Grant funds are eligible for the following uses:

Guidelines

Eligible applicant criteria	Applicants must also meet at least one of the following conditions to be eligible: <ul style="list-style-type: none">• Have ash trees on their property• Have enough space to plant at least 10 trees• Want to engage the community in tree planting or tree care opportunities
Eligible projects	<ul style="list-style-type: none">• Tree plantings on community property• Tree-related education• Arbor Day celebrations• Ash tree removals and replanting

Eligible expenses	<ul style="list-style-type: none"> • Tree plantings that will diversify and enhance existing canopy on community property • Fruit tree orchards • Tree installation that involves community members and follows best management practices for tree plantings • Tree species from county's approved planting list (attachment 3) • First year of tree maintenance (e.g., watering, mulching, staking) for trees planted using grant funds • Planting supplies, such as water bags, grow tubes, bark protection, stakes, shovels, rakes, mulch, compost, topsoil, and rentals • Gravel-bed construction materials, such as pea gravel, barriers, and bare root trees • Staff time (up to 25% of the overall project request) • Ash tree removals (all trees removed must be replaced at a 1:1 ratio)
Ineligible expenses	<ul style="list-style-type: none"> • Tree removals without replacement • Invasive plant removal (e.g., buckthorn, garlic mustard) • Projects that plant unapproved tree species/species that are not on the county's approved tree list (ex. green ash, amur maple, etc.) • Land clearing • Stump removal not for the purpose of creating planting space • Purchase of non-tree plant material (e.g., shrubs, perennial or annual plants) • Tree work performed by a non-ISA certified contractor • Purchase and planting of ball and burlap tree stock • Insecticide treatments • Tree stock over 2" diameter at breast height
Amount of funding available	Minimum amount of \$1,000 up to a maximum amount of \$10,000
Matching funds	25% match is required for ash tree removals; no match is required for other expenses
Project timeline	12 months
Reporting requirements	Submit a final report with photos and documentation of work completed, as well as project outcomes and documentation of expenses, such as receipts, invoices, etc.
Contract and payments	Organizations must enter into a formal agreement with the county (see attachment 4 for a sample of the agreement). All costs will be incurred up front by the grantee and will be reimbursed by the county no more frequently than monthly upon receipt of documentation of expenses.

1.3 Grant Application

When submitting an Application, Applicants must follow the specific format and content requirements below and in Attachment 1. Failure to do so will likely prolong the evaluation process and/or may be grounds for rejection of the Application.

Applicant shall describe, in detail, the proposed uses of the Grant funds for the project. Any other use of Grant funds is strictly prohibited. Applicant shall be solely responsible for securing all additional funds necessary for completion of the project.

Applicant agrees to only use the Grant funds for the uses indicated above. Use of Grant funds for any other purpose is strictly prohibited. If Applicant uses Grant funds for any other purpose, Applicant shall, upon County's written demand, repay all Grant funds awarded.

2 Evaluation and selection

2.1 Applicant evaluation and recommendation for selection

County will consider the Application to determine whether it meets County's requirements and is otherwise eligible for a Grant award. Submission of an Application shall neither obligate nor entitle an Applicant to enter into a contract with the County or to receive a Grant.

2.2 Evaluation of responsiveness

The County will consider all the material submitted by the Applicant to determine whether the Applicant's offer is in compliance with the terms and conditions set forth in this Solicitation. Applications that do not comply with the provisions in this Solicitation may be considered nonresponsive and may be rejected. Notwithstanding the foregoing, County expressly reserves the right to reject any or all Applications with or without cause.

Evaluation criteria shall include the following:

Project description and details

- Projects are well defined with clearly stated goals and objectives
- Project locations are identified with detail and explain the local impact
- Detailed timeline of events with specific actions defined
- Long-term impacts and overall sustainability of the project/maintenance plan
- Current community tree canopy cover and need based, in part, on a comparison of the project location with tree planting priority areas as identified by Hennepin County
- Project location must be in Hennepin County

Project participants

- Demonstrate ability to manage projects and follow through with outlined objectives
- Partners meaningfully engaged to enhance overall project goals
- Engage volunteers or educate community on the benefits that trees provide

Budget

- Demonstrate match commitment

- Funds adequate to complete project
- Budget items are described, and details are provided on how they will be used

See the application for how the criteria is weighted. A combination of quantitative and qualitative criteria is used in the project selection process.

The County reserves the right to determine whether any aspect of the Application satisfactorily meets the criteria, the right to seek clarification or additional information from any Applicant(s), and the right to waive any irregularities or informalities that the County deems is in its best interest.

2.3 Execution of Grant agreement

County may condition the Grant award upon Applicant's execution of a Grant agreement provided by County. As applicable, the Grant agreement shall define the legal relationship between County and Applicant.

Insurance requirements: Each project recipient must formally enter into a contract agreement with the county. The agreement will address the conditions of the award, including implementation of the project and required reports. The agreement is a legal, binding document. Project recipients are expected to keep accurate financial records of the project including proof and documentation of all expenses.

Hennepin County requires insurance coverage for most Healthy Tree Canopy grantees in the following amounts: general liability insurance coverage of \$2 million in general aggregate, \$2 million in products – completed operations aggregate, \$1.5 million in personal and advertising injury, \$1.5 million in combined bodily injury and property damage. Workers' compensation coverage is required by state law unless a certification of exclusion from workers' compensation requirements can be provided. Each occurrence, \$500,000 for bodily injury by accident – each accident, \$500,000 for bodily injury by disease – policy limit, and \$500,000 for bodily injury by disease – each employee. If applicants do not have the insurance coverage listed above, contact the program manager to discuss waiver options. You may submit your organization's "Certificate of Insurance" with your Application for review.

3 General rules

3.1 Solicitation for Grant Applications and Grant overview

This Solicitation for Grant Applications is an invitation for Applicants to submit a Grant Application to the County. It is a means by which the County may facilitate the acquisition of information related to awarding the Grant and is **NOT A COMMITMENT OR OBLIGATION TO AWARD ANY AMOUNT.**

Nothing in this Application shall obligate County to (i) award any amount; (ii) award any subsequent grants; or (iii) pay or otherwise make any additional distributions.

3.2 Estimated timeline and extension of time

Applications due: Thursday, June 18, 2020, by 3 p.m.

Anticipated Hennepin County board approval: August 2020

Project completion: within 12 months of contract execution

These dates are subject to revision or cancellation by the County in its sole and absolute discretion.

3.3 Application submission

Applications will be received in the [Hennepin County Supplier Portal](#). In order to submit an Application, you must first register with the Supplier Portal. For more information on how to register, please go to the [Supplier Portal Information Page](#). Applicants are strongly encouraged to make their submissions well in advance of the Application due date as the process may take some time to complete.

Failure to submit an Application on time may be grounds for rejection of the Application; however, the County reserves the right, in its sole and absolute discretion, to accept Applications after the Application due date.

3.4 Questions and Pre-application Assistance

Applicants are invited to contact the county for feedback on project ideas before applying. For more information visit www.hennepin.us/trees or contact Jen Kullgren at jen.kullgren@hennepin.us or 612-235-0744.

3.5 Addenda

The County reserves the right to modify this Solicitation at any time prior to the Application due date. An addendum will be posted in the Supplier Portal if the Solicitation is modified. Addenda may be viewed by clicking on the 'View Event Package' on the Event Details page. It is the responsibility of each prospective Applicant to assure receipt of all addenda.

The County will modify the Solicitation only by formal written addenda. Applications should be based on this Solicitation document and any formal written addenda. Applicants should not rely on oral statements, or site visits.

3.6 County's right to withdraw, cancel, suspend and/or modify the Solicitation

The County reserves the right to withdraw, cancel, suspend, and/or modify this Solicitation for any reason and at any time with no liability to any prospective Applicant for any costs or expenses incurred in connection with the Solicitation or otherwise.

3.7 Applicant's right to edit or cancel an Application

An Application may be edited or cancelled in the Supplier Portal prior to the Application due date. For instructions, view the Edit or Cancel a Response section of the [How to Respond to an Event Guide](#).

3.8 Applications will not be returned

Upon submission, Applications will not be returned.

3.9 Public disclosure of Application documents

This Solicitation and any data or information submitted to County in response are subject to applicable law, including but not limited to the provisions of the Minnesota Government Data Practices Act Minnesota Statutes, chapter 13 ("MGDPA").

If the Applicant believes private/non-public data is included in its Application, Applicant shall clearly identify the data and provide the specific rationale in support of the asserted classification. Applicant must type in bold red letters the term "CONFIDENTIAL" on that specific part or page of the Application which Applicant believes to be confidential. Classification of data as trade secret data will be determined pursuant to applicable law, and, accordingly, merely labeling data as "trade secret", "confidential" or the like does not necessarily make the data protected under any applicable law.

The Applicant agrees, as a condition of submitting its Application, that the County will not be liable or accountable for any loss or damage which may result from a breach of confidentiality related to the Application.

3.10 Applicant's costs

The County shall not be responsible for any costs incurred by Applicant in relation to this Solicitation. Applicant shall bear all costs of Application preparation, submission, and attendance at interviews, or any other activity associated with this Solicitation or otherwise.

3.11 Conflict of interest

The Applicant affirms that to the best of its knowledge the submission of its Application, or any resulting Grant award, does not present an actual or perceived conflict of interest. Strictly for illustration purposes, a personal relationship with a County employee directly or indirectly involved in the Grant process may be a conflict of interest.

The Applicant agrees that should any actual or perceived conflict of interest become known, it will immediately notify the County and will advise whether it will or will not avoid, mitigate, or neutralize the conflict of interest.

The County may make reasonable efforts to avoid, mitigate, or neutralize a conflict of interest by an Applicant. To avoid a conflict of interest by an Applicant, the County may utilize methods including disqualifying an Applicant from eligibility for the Grant or cancelling the Grant if the conflict is discovered

after the Grant has been issued. The County may, at its sole and absolute discretion, waive any conflict of interest.

4 Attachments

- 4.1 Attachment 1 – Application for non-profits
- 4.2 Attachment 2 – Budget form
- 4.3 Attachment 3 – Hennepin County recommended tree species list
- 4.4 Attachment 4 – Sample contract agreement